

IIROC LIST OF CERTIFICATIONS AS OF JANUARY 2020

Advisor Assistant Professional Certificate®
AIFA - Accredited Investment Fiduciary Analyst
AIFP - Accredited Investment Fiduciary Professional (AIF – Accredited Investment Fiduciary in United States)
CA - Chartered Accountant
CBV – Chartered Business Valuator
CDFA - Certified Divorce Financial Analyst
CEA - Certified Executor Advisor
Certificate in Advanced Investment Advice
Certificate in Advanced Mutual Funds Advice
Certificate in Derivatives Market Strategies
Certificate in Equity Trading and Sales
Certificate in Essentials of Investment Consulting
Certificate in Estate Planning & Trust Strategy
Certificate in Financial Services Advice
Certificate in Fixed Income Trading and Sales
Certificate in Fundamentals of Alternative Investments
Certificate in Investment Dealer Compliance
Certificate in Retirement Strategy
Certified Anti-Money Laundering Specialist®
Certified Capital Markets Trading Specialist®
Certified ETF Specialist®
Certified Regulatory Compliance Specialist®
Certified Supervision, Risk and Regulation Specialist®
CFA - Chartered Financial Analyst
CFDS – Chartered Financial Divorce Specialist
CFP® - Certified Financial Planner

CHS™ – Certified Health Insurance Specialist

CIM - Canadian Investment Manager

CIM®- Chartered Investment Manager

CIMA® - Certified Investment Management Analyst

CIPM - Certificate in Investment Performance Measurement

CIWM – Certified International Wealth Manager

Claritas® Investment Certificate

CLU - Chartered Life Underwriter

CMA – Certified Management Accountant

CMT – Chartered Market Technician

CPA - Certified Public Accountant

CPA – Chartered Professional Accountant

CPCA - Certified Professional Consultant on Aging (previously Certified Senior Advisor)

CRC - Certified Retirement Counselor

CSA – Certified Senior Advisor

CSWP - Chartered Strategic Wealth Professional (No Longer Offered)

DFA – Distinguished Financial Advisor

DMS - Derivatives Market Specialist

EPC - Elder Planning Counselor Designation

F.Pl. - Financial Planner

FCSI® - Fellow of the Canadian Securities Institute

FICB - Fellow of ICB

FMA - Financial Management Advisor

FPSC Level 1® Certificate – FPSC Level 1® Certification in Financial Planning

FRM – Financial Risk Manager

MFA™ - Master Financial Advisor

MTI - MTI Estate and Trust Professional

PFP® - Personal Financial Planner

PRM™ - Professional Risk Manager

Professional Certificate in Brokerage Operations®

Professional Certificate in Mutual Fund Operations®

R.F.P.® - Registered Financial Planner

RFRA - Registered Financial and Retirement Advisor Program

RIAC - Responsible Investment Advisor Certification

RIPC - Responsible Investment Professional Certification

RIS - Responsible Investment Specialist

RRC - Registered Retirement Consultant® Program

Smart Certificate®

Smart Mentor Professional Certificate® (SMPC)

Smart Specialist Certificate®